

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Kazunori HORIKIRI; Hitoshi ABE; Yusuke KANO;

Hirotake KUDO

Application No.: New U.S. Patent Application

Filed: December 14, 2001 Docket No.: 111420

For: INFORMATION NOTIFYING METHOD AND APPARATUS

#### INFORMATION DISCLOSURE STATEMENT

Director of the U.S. Patent and Trademark Office Washington, D.C. 20231

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- 2. A concise statement of the relevance of the non-English language references appears in the Appendix attached hereto.

Respectfully submitted,

James A. Oliff

Registration No. 27,075

Thomas J. Pardini

Registration No. 30,411

JAO:TJP/kaf

Date: December 14, 2001

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Customer Number: 25944

Attorney Docket No.: 111420

Date: December 14, 2001

#### **BOX PATENT APPLICATION**

NONPROVISIONAL APPLICATION TRANSMITT **RULE §1.53(b)** 

Director of the U.S. Patent and Trademark Office

Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application

For (Title):

INFORMATION NOTIFYING METHOD AND APPARATUS

Kazunori HORIKIRI; Hitoshi ABE; Yusuke KANO; Hirotake KUDO

By (Inventors):

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$\boxtimes$	s. <u>1-8; 8</u> sheets) are att for front page of Pub		

A Declaration and Power of Attorney is filed herewith.

This patent application is assigned to FUJI XEROX CO., LTD.

The executed Assignment is filed herewith.

An Information Disclosure Statement is filed herewith.

Entitlement to small entity status is hereby asserted.

A Preliminary Amendment is filed herewith.

Please amend the specification by inserting before the first line the sentence -- This nonprovisional application claims the benefit of U.S. Provisional Application No. , filed

Priority of foreign application No. 2001-200066 filed June 29, 2001 in Japan is claimed (35 U.S.C. §119).

A certified copy of the above corresponding foreign application(s) is filed herewith.

This application is NOT to be published under 35 U.S.C. 112(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

 $\boxtimes$ The filing fee is calculated below:

### CLAIMS IN THE APPLICATION AFTER ENTRY OF ANY PRELIMINARY AMENDMENT NOTED ABOVE

FOR:	NO. FILED	NO. EXTRA		
BASIC FEE				
TOTAL CLAIMS	40 - 20	= 20		
INDEP CLAIMS	4 - 3	= 1		
☐ MULTIPLE DEPENDENT CLAIMS PRESENTED				

<sup>\*</sup> If the difference is less than zero, enter "0".

#### SMALL ENTITY

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\$ 370	
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OR

RATE	FEE		
	\$ 740		
x 18	\$ 360		
x 84	\$ 84		
+ 280	\$		
TOTAL	\$1,184		

Check No. 125960 in the amount of \$1,184.00 to cover the filing fee is attached. Except as otherwise noted  $\boxtimes$ herein, the Director is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

Respectfully submitted,

James A. Oliff

Registration No. 27,075

Thomas J. Pardini Registration No. 30,411

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Sheet 1 of 1	
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Form PTO-1449 US Dept. of Commerce (REV. 8-83) PATENT & TRADEMARK OFFICE		ATTY D 111420	OOCKET NO.	APPLICATION NO. New U.S. Patent Application	
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(Use several sheets if necessary)			ANTS		
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS SUB CLASS	
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS CLASS	
	JP 9-8925 A	01-10-1997	Japan		
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	JP 2001-76273 A	03-23-2001	Japan		
	OTHER DOCUMENTS (Incl.	uding Author,	Title, Date, Pertinent Pages, etc.)		
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